اسـتمــــارة تســجـيـل الأشـخــــاص المعتـمــــدين **REGISTRATION OF APPROVED PERSONS APPLICATION**



معلومــات عـامــــة عـن الشركـــة **GENERAL COMPANY INFORMATION**

Application Date	تاريح تقديم الطلب
Member/ Company Name	إسم العضو/الشركة
Licensed under CBB Rulebook No.	مرخص تحت مجلد قواعد مصرف البحرين المركزي رقم
Registered Office Address	العنوان المسجل للمكتب

تعـــيين وتسجــيل الأشخــــاص الـمعــتمــــدين Appointment and Registration of an Approved Persons

We are desirous of appointing and registering the following person as an Approved Person upon the terms and subject in all respects to the Rules of BHB which are now in force, as may be amended from time to time and all directives, ruling and guidelines issued by BHB.

Name	الاسم
Date of Birth	تاريخ الولادة
Male / Female	فکر /أنثی
CPR / Passport Number	رقم بطاقة الهوية/جواز السفر
Address	العنوان

Designation	المسمى الوظيفي
Academic Qualification	المؤهل العلمي

We enclose herewith:

- 1. A copy of the letter from CBB authorizing the appointment of the above named;
- 2. An undertaking by the above named in the prescribed form; and
- 3. The non-refundable fee of BD

Key Authorized Person Name Position Date Signature Corporate Stamp

Required Documents

- 1. Copy of CPR and Passport.
- 2. Copy of Series 7 and /or Series 79 Certificate (If applicable).
- 3. A copy of Curriculum Vitae (CV) of the applicant.
- 4. A copy of CBB approval.

تصــريــح مــــن قبــل الشــخـــص المـعــتــمــد **DECLARATION UNDERTAKEN BY APPROVED PERSON**

To: BAHRAIN BOURSE B.S.C. ("BHB")

In consideration of BHB approving the application for my registration with BHB as an [Approved Person*] in accordance with the Rules of Bahrain Bourse ("the Rules"), I hereby irrevocably and unconditionally undertake and agree as follows:-

- (a) To be bound in all respects by and to comply with the Rules which now are or may hereafter be in force and all directives rulings and guidelines issued by BHB in so far as they apply directly or indirectly to an Approved Person;
- (b) To indemnify and keep BHB fully indemnified from and against all losses, damages, costs, expenses, actions and claims or otherwise which BHB may incur arising out of any act or omission on my part or failure by me to comply with any provisions in the Rules and/or any directives and guidelines issued by BHB; and
- (c) Notwithstanding my cessation to be registered as an Approved Person with BHB for any reason whatsoever, I further undertake to continue to be bound by the Rules which now are or may hereafter be in force and all directives rulings and guidelines issued by BHB in so far as they may relate to any act or omission that I may, in the opinion of BHB, have committed or omitted to do during the period of my registration with BHB.

I hereby acknowledge that the approval of the application for my registration as an Approved Person with BHB hereunder, or any indulgence or delay in making any demand or instituting any action by BHB shall not constitute or be deemed to operate as a waiver by BHB of any of its/their rights hereunder or under the Rules.

Applicant Name:

Applicant Signature:

FOR BHB INTERNAL USE O	NLY		للاستخدام الداخلي فقط
Application Received on			تاريخ استلام الاستمارة
Received by			استلم من قبل
Application Status			وضع الاستمارة
	Complete	مكتمل	
	Not Complete	غير مكتمل	
Director of Trading Operations		توقيع مدير إدارة	
Directorate Signature			عمليات التداول
CEO's Signature			توقيع الرئيس التنفيذي

المستندات المطلوبة

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ا. نسخة من بطاقة الهوية وجواز السفر ،

۲. نسخة من شهادة Series 7 و Series 7 (إن وجد).

٣. نسخة من السيرة الذاتية لمقدم الطلب

٤. نسخة من موافقة مصرف البحرين المركزي .

بالورضية مراخصية مين قبل مصيرا فالتجيرين المراكبيزي Licensed by Central Bank of Bahrain as a Licensed Exchange B.S.C (c) CR 76907

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